JAP15 Rec'd PCT/PTO 22 JAN 2007

Approved for use through 3/31/2007, OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

AMTH-104US

ATTTORNEY'S DOCKET NUMBER

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/565,030 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2004/003066 14 JULY 2004 (14.07.2004) 18 JULY 2003 (18.07.2003) TITLE OF INVENTION SURFACE PROFILING METHOD AND APPARATUS APPLICANT(S) FOR DO/EO/US MANSFIELD, Daniel Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🗸 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Certificate of Exp. Mail # EV 904034168 US; Return Receipt Postcard; PTO-2038; Copy of Notice to File Missing Requirements; Executed DEC/POA.

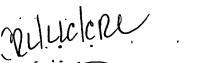
This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) TO BE ASSIGNED		INTERNATIONAL APPLICATION NO. PCT/GB2004/003066		ATTORNEY'S DOCKET NUMBER AMTH-104US			
	The following	fees have been submitted			CALCULATIONS	PTO USE ONLY		
	21. Basic natio	onal fee (37 CFR 1.492(a))		\$300	\$			
i	If the written opinion proby IPEA/US indicate All other situations	on fee (37 CFR 1.492(c)) repared by ISA/US or the Interna es all claims satisfy provisions of	\$					
	Search fee (37 CFR 1, an International Sea International Search R previously communi	es all claims satisfy provisions of .44(a)(2)) has been paid on the i arching Authority teport prepared by an ISA other t icated to the US by the IB	\$					
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	Additional fee for s sequence listing o The fee is \$250 fo	AL OF 21, 22 and 23 = pecification and drawings filed in or computer program listing filed i or each additional 50 sheets of pa tra Sheets Number of each a thereof (round up						
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	claimed priority date (37		on later than 30 months from the e	earliest	\$ 130			
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	Total claims	- 20 =	х	\$ 50	\$			
	Independent claims	- 3 =	×	\$200	\$			
	MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)	+	\$360	\$			
			TOTAL OF ABOVE CALC	ULATIONS =	\$			
	Applicant claims s	mall entity status. See 37 CFR 1	.27. Fees above are reduced by 3	<b>/</b> 2.				
		· · · · · ·	S	UBTOTAL =	\$			
	Processing fee of \$130 claimed priority date (3	0.00 for furnishing the English tra 37 CFR 1.492(i)).	\$					
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	_	enclosed assignment (37 CFR 1.2 er sheet (37 CFR 3.28, 3.31). \$40	\$					
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	a. A check in th	ne amount of \$						
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 18-0350. A duplicate copy of this sheet is enclosed.							
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
		ropriate time limit under 37 CF e the International Application	(37 CFR 1.137(a) or (b))	must be filed				
	SEND ALL CORRESP	ONDENCE TO:	N/ N					
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	Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482  Lowell L. Cars NAME				···			
	RatnerPrestia, P.	O. Box 1596, Wilmington, DE 19						
	Date : January 22, 2007 48,548  REGISTRATIO				ON NUMBER			

CERTIFICATE OF MAI	L" (37 CFR 1.10)	Docket No.							
Applicant(s): DANIEL MA		AMTH-104US							
Serial No.	Filing Date	Examiner	Group Art Unit						
10/565,030	JANUARY 18, 2006	NOT YET KNOWN	TO BE ASSIGNED						
Invention: SURFACE PR	OFILING METHOD AND API	PARATUS							
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I hereby certify that the fo		OMPANING DOCUMENTATION	ON						
THE ENGLOSED FTO	-1390 IDENTIFIES ALL ACC	OWFAMING DOCUMENTATION	JIV.						
	(Identify type of	f correspondence)							
Is being deposited with the CFR 1.10 in an envelope		"Express Mail Post Office to Addre	essee" service under 37						
,	Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450								
Commissioner for Patents		A 22212 1/ED	•.						
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/565,030

Daniel Mansfield

AMTH-104US

INTERNATIONAL APPLICATION NO.

PCT/GB04/03066

23122 **RATNERPRESTIA** P O BOX 980 VALLEY FORGE, PA 19482-0980

RECEIVED

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I.A. FILING DATE PRIORITY DATE 07/14/2004 07/18/2003

**CONFIRMATION NO. 9041** 371 FORMALITIES LETTER

\*OC000000021327153\*

Date Mailed: 11/22/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/18/2006
- Copy of the International Search Report filed on 01/18/2006
- Preliminary Amendments filed on 01/18/2006
- Request for Immediate Examination filed on 01/18/2006
- U.S. Basic National Fees filed on 01/18/2006
- Priority Documents filed on 01/18/2006
- Specification filed on 01/18/2006
- Claims filed on 01/18/2006
- Abstracts filed on 01/18/2006
- Drawings filed on 01/18/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:



• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/565,030	PCT/GB04/03066	AMTH-104US

FORM PCT/DO/EO/905 (371 Formalities Notice)